

05/29/01

J1025 U.S. PTO

PTO
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

NAUP0287USA

First Inventor

Jih-Shiang Jeng

Title

Method of forming a capacitor in a feram.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(Preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. ☒ Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(c)(2) and 1.33(b)
6. ☐ Application Data Sheet, See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information

Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Name (Print/Type)

WINSTON HSU

Registration No. (Attorney/Agent)

41.526

Signature

Winston Hsu

Date 5/24/2001

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Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jih-Shiang Jeng
Examiner Name	
Group Art Unit	
Attorney Docket No	NAIPO287USA

Attorney Docket No	NAUP0287USA
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FEE CALCULATION (continued)

- | 3. ADDITIONAL FEES | | | | | Fee Description | Fee Paid |
|------------------------------------|-------------------|----------|----------|--|-----------------|----------|
| Large Entity Code | Small Entity Code | Fee (\$) | Fee (\$) | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 | 130 | 139 | 130 | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| 118 | 1,390 | 218 | 895 | Extension for reply within fourth month | | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | |
| 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| 121 | 270 | 221 | 135 | Request for oral hearing | | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or resissue) | | |
| 143 | 440 | 243 | 220 | Design issue fee | | |
| 144 | 600 | 244 | 300 | Plant issue fee | | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Sheet | | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| 198 | 900 | 169 | 900 | Request for expedited examination of a design application* | | |
| Other fee (specify) _____ | | | | | | |
| * Reduced by Basic Filing Fee Paid | | | | | | |
| SUBTOTAL (3) (\$) | | | | | 40 | |

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	210
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

		Extra Claims		Fee from below	Fee Paid
Total Claims	13	20** =	0	X	0
Independent Claims	2	3** =	0	X	0
Multiple Dependent					

Large Entry		Small Entry		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Resciss original claims over original patent
110	18	210	9	** Resciss claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

*for number previously paid, if greater. For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(S)	4.0
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Complete (if applicable):

Name (Print/Type)	WINSTON HSU	Registration No (Attorney/Agent)	41,526	Telephone	886-2-8923-7350
Signature	<i>Winston Hsu</i>	Date	5/24/2001		

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